COMMISSIONER FOR PATENTS Washington, D.C. 20231

SERIAL NO. 09/895,977

IBM DOCKET NO. AUS920010235US1

DATE: August 15, 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Calderaro, et. al.

Serial No.: 09/895,977 Filed: June 29, 2001

Title: System and Method for

Personnel Planning Factors

Organizational Risk Based On

Group Art Unit: 2163

Intellectual Property Law Department

International Business

Machines Corporation

: Intellectual Property Law Dept.

: 11400 Burnet Road

: Austin, Texas 78758

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## INFORMATION DISCLOSURE STATEMENT

AUG 15. 2005

The Commissioner of Patents Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

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In Place of FORM PTO-1449 (Modified) Serial No. 09/895,917 Applicant: Calderaro, ét. al. LIST OF PATENTS AND PUBLICATIONS FOR Filing Date: 6/29/2001 APPLICANT'S INFORMATION DISCLOSURE Group: 2163 Atty. Docket No. AUS920010235US1 STATEMENT U. S. PATENT DOCUMENTS Classification Filing Date if Examiner Document Initial Class / Subclass <u>Number</u> <u>Date</u> Name Appropriate 707 / 4 6,487,552 Nov 2002 Lei et al. AA AB AC AD ΑE AF AG AH ΑJ ΑJ AK AL **FOREIGN PATENT DOCUMENTS** Examiner Document Translation Yes No Initial Number <u>Date</u> Country Classification AM AN AO AP Examiner OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Initial AQ AR AS AT ΑŲ Examiner: Date Considered: EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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In Place of FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT				Serial No. <u>09/895,977</u> Applicant: <u>Calderaro. et. al.</u> Filing Date: <u>6/29/2001</u> Group: <u>2163</u> Atty. Docket No. <u>AUS920010235US1</u>		
U. S. PATENT DOCUMENTS						
Examiner		Document			Classification	Piling Date if
<u>Initial</u>		<u>Number</u>	Date	<u>Name</u>	Class / Subclass	<b>Appropriate</b>
	AA	6,487,552	Nov 2002	Lei et al.	707 / 4	
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